

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yencho, Stephen; et. al.
Assignee: Cardica, Inc.
Title: Superelastic Anastomosis Device
Serial No.: Not yet assigned Filing Date: March 26, 2004
Examiner: Not yet assigned Group Art Unit: Not yet assigned
Docket No.: 152

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

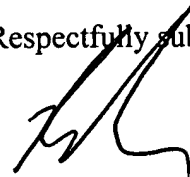
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information in the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 and of explanations of relevance of non-English-language references that have been submitted in a parent application are not included, because this application is a continuation under 37 CFR 1.53(b) of U.S. Patent Application Serial No. 09/687,216. Further, copies of U.S. patents and published patent applications need not be provided, in accordance with 1276 Off. Gaz. Pat. Off. 55, August 5, 2003.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,



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Attorney for Applicant(s)
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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 152	SERIAL NO. Not yet assigned
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,155,095	11/1964	Brown			
	3,254,650	6/1966	Collito			
	3,519,187	7/1970	Kapitanov et. al.			
	3,774,615	11/1973	Lim et. al.			
	4,214,587	7/1980	Sakura, Jr.			
	4,350,160	9/1982	Kolesov et. al.			
	4,352,358	10/1982	Angelchik			
	4,366,819	1/1983	Kaster			
	4,368,736	1/1983	Kaster			
	4,503,568	3/1985	Madras			
	4,523,592	6/1985	Daniel			
	4,553,542	11/1985	Schenck et. al.			
	4,589,416	5/1986	Green			
	4,593,693	6/1986	Schenck			
	4,603,693	8/1986	Conta et. al.			
	4,607,637	8/1986	Berggren et. al.			
	4,624,255	11/1986	Schenck et. al.			
	4,624,257	11/1986	Berggren et. al.			
	4,657,019	4/1987	Walsh			
	4,665,906	5/1987	Jervis			
	4,747,407	5/1988	Liu			
	4,752,024	6/1988	Green et. al.			
	4,883,453	11/1989	Berry et. al.			

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	4,892,098	1/1990	Sauer			
	4,907,591	3/1990	Vasconcellos et. al.			
	4,917,087	4/1990	Walsh et. al.			
	4,917,090	4/1990	Berggren et. al.			
	4,917,091	4/1990	Berggren et. al.			
	4,930,674	6/1990	Barak			
	5,005,749	4/1991	Aranyi			
	5,062,842	11/1991	Tiffany			
	5,104,025	4/1992	Main et. al.			
	5,119,983	6/1992	Green et. al.			
	5,129,913	7/1992	Ruppert			
	5,156,613	10/1992	Sawyer			
	5,171,262	12/1992	MacGregor			
	5,187,796	2/1993	Wang et. al.			
	5,193,731	3/1993	Aranyi			
	5,205,459	4/1993	Brinkerhoff et. al.			
	5,217,474	6/1993	Zacca et. al.			
	5,221,281	6/1993	Klicek			
	5,222,963	6/1993	Brinkerhoff et. al.			
	5,234,447	8/1993	Kaster et. al.			
	5,250,058	10/1993	Miller et. al.			
	5,250,060	10/1993	Carbo et. al.			
	5,271,544	12/1993	Fox et. al.			

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	5,275,322	1/1994	Brinkerhoff et. al.			
	5,285,945	2/1994	Brinkerhoff et. al.			
	5,290,298	3/1994	Rebuffat et. al.			
	5,292,053	3/1994	Bilotti et. al.			
	5,304,220	4/1994	Maginot			
	5,314,435	5/1994	Green et. al.			
	5,314,468	5/1994	Martinez			
	5,333,773	8/1994	Main et. al.			
	5,336,233	8/1994	Chen			
	5,350,104	9/1994	Main et. al.			
	5,354,302	10/1994	Ko			
	5,364,389	11/1994	Anderson			
	5,366,462	11/1994	Kaster et. al.			
	5,392,979	2/1995	Green et. al.			
	5,395,030	3/1995	Kuramoto et. al.			
	5,395,311	3/1995	Andrews			
	5,443,497	8/1995	Venbrux			
	5,447,514	9/1995	Gerry et. al.			
	5,454,825	10/1995	Van Leeuwen			
	5,456,712	10/1995	Maginot			
	5,456,714	10/1995	Owen			
	5,464,449	11/1995	Ryan et. al.			
	5,465,895	11/1995	Knodel et. al.			

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	5,478,354	12/1995	Tovey et. al.			
	5,503,635	4/1996	Sauer et. al.			
	5,515,478	5/1996	Wang			
	5,522,834	6/1996	Fonger et. al.			
	5,524,180	6/1996	Wang et. al.			
	5,533,661	7/1996	Main et. al.			
	5,540,677	7/1996	Sinofsky			
	5,553,198	9/1996	Wang et. al.			
	5,556,405	9/1996	Lary			
	5,558,667	9/1996	Yarborough et. al.			
	5,571,167	11/1996	Maginot			
	5,643,340	7/1997	Nunokawa			
	5,645,520	7/1997	Nakamura et. al.			
	5,657,429	8/1997	Wang et. al.			
	5,669,918	9/1997	Balazs et. al.			
	5,669,934	9/1997	Sawyer			
	5,676,670	10/1997	Kim			
	5,693,088	12/1997	Lazarus			
	5,695,504	12/1997	Gifford, III, et. al.			
	5,702,412	12/1997	Popov et. al.			
	5,707,362	1/1998	Yoon			
	5,707,380	1/1998	Hinchliffe et. al.			
	5,709,335	1/1998	Heck			

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	5,709,693	1/1998	Taylor			
	5,725,544	3/1998	Rygaard			
	5,725,553	3/1998	Moenning			
	5,732,872	3/1998	Bolduc et. al.			
	5,754,741	5/1998	Wang et. al.			
	5,762,458	6/1998	Wang et. al.			
	5,779,718	7/1998	Green et. al.			
	5,792,135	8/1998	Madhani et. al.			
	5,797,900	8/1998	Madhani et. al.			
	5,797,920	8/1998	Kim			
	5,799,661	9/1998	Boyd et. al.			
	5,799,857	9/1998	Robertson et. al.			
	5,807,377	9/1998	Madhani et. al.			
	5,814,073	9/1998	Bonutti			
	5,815,640	9/1998	Wang et. al.			
	5,817,113	10/1998	Gifford III et. al.			
	5,827,316	10/1998	Young et. al.			
	5,833,698	11/1998	Hinchliffe et. al.			
	5,841,950	11/1998	Wang et. al.			
	5,855,583	1/1999	Wang et. al.			
	5,868,763	2/1999	Spence et. al.			
	5,875,782	3/1999	Ferrari et. al.			
	5,878,193	3/1999	Wang et. al.			

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	5,879,371	3/1999	Gardiner et. al.			
	5,881,943	3/1999	Heck et. al.			
	5,893,369	4/1999	Lemole			
	5,904,697	5/1999	Gifford III et. al.			
	5,907,664	5/1999	Wang et. al.			
	5,911,036	6/1999	Wright et. al.			
	5,915,616	6/1999	Viola et. al.			
	5,921,995	7/1999	Kleshinski			
	5,944,730	8/1999	Nobles et. al.			
	5,947,363	9/1999	Bolduc et. al.			
	5,957,363	9/1999	Heck			
	5,976,159	11/1999	Bolduc et. al.			
	6,001,124	12/1999	Bachinski			
	6,007,544	12/1999	Kim			
	6,013,190	1/2000	Berg			
	6,015,416	1/2000	Stefanchik et. al.			
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	6,024,748	2/2000	Manzo et. al.			
	6,030,370	2/2000	Kupka et. al.			
	6,030,395	2/2000	Nash et. al.			
	6,036,699	3/2000	Andreas et. al.			
	6,036,700	3/2000	Stefanchik et. al.			
	6,036,702	3/2000	Bachinski et. al.			

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	6,036,703	3/2000	Evans et. al.			
	6,036,704	3/2000	Yoon			
	6,036,705	3/2000	Nash et. al.			
	6,050,472	4/2000	Shibata			
	6,053,390	4/2000	Green et. al.			
	6,056,762	5/2000	Nash et. al.			
	6,066,144	5/2000	Wolf et. al.			
	6,066,148	5/2000	Rygaard			
	6,068,637	5/2000	Popov et. al.			
	6,074,416	6/2000	Berg et. al.			
	6,080,167	6/2000	Lyell			
	6,080,173	6/2000	Williamson IV et. al.			
	6,083,234	7/2000	Nicholas et. al.			
	6,110,188	8/2000	Narciso, Jr.			
	6,113,612	9/2000	Swanson et. al.			
	6,117,148	9/2000	Ravo et. al.			
	6,120,432	9/2000	Sullivan et. al.			
	6,146,393	11/2000	Wakabayashi			
	6,149,681	11/2000	Houser et. al.			
	6,152,937	11/2000	Peterson et. al.			
	6,152,945	11/2000	Bachinski et. al.			
	6,165,185	12/2000	Shennib et. al.			
	6,167,889	1/2001	Benetti			

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	6,171,319	1/2001	Nobles et. al.			
	6,171,321	1/2001	Gifford III et. al.			
	6,176,413	1/2001	Heck et. al.			
	6,176,864	1/2001	Chapman			
	6,186,942	2/2001	Sullivan et. al.			
	6,187,019	2/2001	Stefanchik et. al.			
	6,187,020	2/2001	Zegdi et. al.			
	6,190,396	2/2001	Whitin et. al.			
	6,190,397	2/2001	Spence et. al.			
	6,190,590	2/2001	Randall et. al.			
	6,193,129	2/2001	Bittner et. al.			
	6,193,734	2/2001	Bolduc et. al.			
	6,206,912	3/2001	Goldsteen et. al.			
	6,206,913	3/2001	Yencho et. al.			
	6,235,054	5/2001	Berg et. al.			
	6,391,036	5/2002	Berg et. al.			
	6,428,550	8/2002	Vargas et. al.			
	6,440,163	8/2002	Swanson et. al.			
	6,485,496	11/2002	Suyker et. al.			
	6,511,491	1/2003	Grudem et. al.			
	6,599,303	7/2003	Peterson et. al.			
	6,602,263	8/2003	Swanson et. al.			
	6,605,098	8/2003	Nobis et. al.			

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		6,695,859	2/2004	Golden et. al.			
		6,699,256	3/2004	Logan et. al.			
		2002/0082614A1	6/2002	Logan et. al.			
		2002/0169466A1	11/2002	Peterson et. al.			

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	29713335.7	11/1997	DE				
	0 517 252	12/1992	EP				
	0 701 800	3/1996	EP				
	0 820 724	1/2000	EP				
	0 820 725	1/2000	EP				
	0 885 595	12/1998	EP				
	0 913 125	7/2000	EP				
	0 938 870	9/1999	EP				
	0 990 420	12/2000	EP				
	92/08513	5/1992	WO				
	96/25886	8/1996	WO				
	97/25002	8/1997	WO				
	97/27898	9/1997	WO				
	97/31575	9/1997	WO				
	97/47261	12/1997	WO				
	98/07399	2/1998	WO				
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	98/19625	5/1998	WO				
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	98/19630	5/1998	WO				
	98/19631	5/1998	WO				

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	98/19632	5/1998	WO				
	98/19634	5/1998	WO				
	98/19636	5/1998	WO				
	98/30153	7/1998	WO				
	98/37814	9/1998	WO				
	98/42262	10/1998	WO				
	98/47430	10/1998	WO				
	98/55027	12/1998	WO				
	99/08603	2/1999	WO				
	99/17665	4/1999	WO				
	99/18887	4/1999	WO				
	99/21491	5/1999	WO				
	99/37218	7/1999	WO				
	99/38441	8/1999	WO				
	99/38454	8/1999	WO				
	99/40851	8/1999	WO				
	99/40868	8/1999	WO				
	99/45848	9/1999	WO				
	99/52481	10/1999	WO				
	99/62409	12/1999	WO				
	99/62415	12/1999	WO				
	99/62406	12/1999	WO				

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	99/63910	12/1999	WO				
	99/65409	12/1999	WO				
	00/09040	2/2000	WO				
	00/10486	3/2000	WO				
	00/12013	3/2000	WO				
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	00/15149	3/2000	WO				
	00/27310	5/2000	WO				
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	00/27312	5/2000	WO				
	00/27313	5/2000	WO				
	00/33745	6/2000	WO				
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	00/59380	10/2000	WO				
	00/66007	11/2000	WO				

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	00/69349	11/2000	WO					
	00/69364	11/2000	WO					
	00/72764	12/2000	WO					
	00/74579	12/2000	WO					
	00/76405	12/2000	WO					
	01/08601	2/2001	WO					
	01/12074	2/2001	WO					
	01/15607	3/2001	WO					
	01/17440	3/2001	WO					
	01/19257	3/2001	WO					
	01/19259	3/2001	WO					
	01/19284	3/2001	WO					
	01/34037	5/2001	WO					

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